



11-29-01

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FORM PTO-1082

83378.0001
Express Mail Label No. EL 713 631 659 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kenji YAMAZAKI of Tokyo; Toshio MIRI of Nagano; and Ikuro WAKABAYASHI of Nagano
all of Japan

Serial No: Not assigned

Filed: November 26, 2001

For: A TUBE UNIT AND A BLOOD PUMP SYSTEM

jc978 U.S. PRO
09/995246
11/26/01

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number

000026021



26021

PATENT TRADEMARK OFFICE

Transmitted herewith for filing is the patent application identified above.

☒ 6 sheet(s) of drawings (☐ formal ☒ informal) is(are) enclosed.

☒ 17 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.

☐ An assignment of the invention to SUN MEDICAL TECHNOLOGY RESEARCH CORPORATION and JAPAN SCIENCE AND TECHNOLOGY CORPORATION ☐ is enclosed ☒ will follow.

☐ An associate power of attorney ☐ is enclosed ☐ will follow.

☐ Applicant claims small entity status. See 37 CFR 1.27.

☐ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.

☐ A certified copy of ___ Patent Application No. ___ filed ___ from which priority is claimed under 35 U.S.C. § 119 is enclosed.

☐ IDS enclosed (☐ with ___ reference(s)).

☐ Preliminary Amendment is enclosed.

☐ Application data sheet is enclosed. See 37 CFR 1.76.

☒ Return postcard is enclosed.

CALCULATION OF FEES

ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE	
A	TOTAL CLAIMS FEE E	20	-20	0	LG=\$18 SM=\$9	\$18	0		
B	INDEPENDENT CLAIMS FEE*	2	-3	0	LG=\$84 SM=\$42	\$84	0		
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							0	
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140		\$ 0	
E	BASIC FEE					LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$370		\$ 740	
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 740	
G	ASSIGNMENT RECORDING FEE							\$ 40	\$ 40
*LIST INDEPENDENT CLAIMS 1 and 11									

☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.

☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.

☐ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.

☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**

☐ Any additional filing fees required under 37 C.F.R. 1.16

☐ Any patent application processing fees under 37 C.F.R. 1.17

Respectfully submitted,
HOGAN & HARTSON L.L.P.

By:

Lawrence J. McClure
Registration No. 44,228
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Date: November 26, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Kenji YAMAZAKI et al.

Serial No: Not assigned

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Art Unit: Not assigned

Examiner: Not assigned

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Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ patent application (17 page(s) of specification; 20 claim(s); 1 page(s) of abstract
- ☒ 6 sheet(s) of informal drawings
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231.

Date: November 26, 2001

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Emily Cruz

Name of person mailing papers

Signature